



SWISS FINANCIAL CONSULTING

Taking care of all your financial needs

Intermediary Disclosure

Dear Client

Thank you for giving me the opportunity to discuss your insurance needs. The purpose of this letter is to introduce myself to you and to explain my roles as a Broker as I represent more than one insurer. We are a licensed financial service provider in terms of the Financial and Intermediary Services Act (FAIS, Act no 37 of 2002) and **our FSB license number is 2569**. Compliance with the FAIS Act is monitored by Masthead Financial Advisors Association, practice no. 67, a compliance office approved by the Financial Services Board. The contact no is 0860 103807. Our license has been issued with the following exemptions: From displaying certified copies of license, from reference to licenses in business documentation, of financial services providers from section 19(2)(a) and (b)(i) of the Act, of financial services providers from paragraph 5(2) of the Fit & Proper requirements and of financial services providers as regards to representatives.

My name is Kenny Williamson, staff member of Swiss Financial Consulting CC and responsible for our health / life insurance clients. I have been employed since July 2008 and registered with the Financial Services Board as a representative of Swiss Financial Consulting.

The brokerage office is situated at: Unit C7, Century Square, Heron Crescent, Century City
Postal address: P.O. Box 270, Century City, 7446
Tel and Fax: 021 – 551 3331 / 021 5518061
My e-mail address is: kenny@swissfin.co.za

In terms of the contracts we have entered into, our company may market the products of the following companies as an independent broker: Sanlam, Old Mutual, Liberty Life, Momentum, Discovery, Fedsure/Capital Alliance, Mutual & Federal, Santam, Auto & General, SA Eagle, HIU, BnB Sure, Tuffstuff, Admiral, TRA, AIG, MUA, CIA, BoE and SAMI AG. We also have contracts with non FSB-registered health insurance companies (Bupa, IHI and Allianz).

We do not hold more than 10% of any of these companies' shares and we have not received more than 30% (except M&F) of our total earnings from any of them in the preceding year. We have professional indemnity insurance in place and in terms of the contracts with the product providers we are accredited to market the following products: Life & endowment policies, medical aid products, investment plans, unit trusts, banking products, retirement funds, preservation funds, wills, offshore products, corporate plans, employee benefit plans, short term insurance (domestic & commercial) and bank deposits.

I may from time to time receive non-cash incentives from product suppliers or indirect consideration from other persons. I will provide specific details should you request further information.

I wish to advise that all information obtained or acquired about you shall remain confidential unless you provide written consent or unless I am required by any law to disclose such information.

In the event that you are dissatisfied with any respect of my advice, you should address your complaint in writing to me at the above address. A copy of my Complaints Policy is available on request. Alternatively, you may contact the Ombudsman. All relevant fees & information can be found on the quote or the final policy document.

Yours sincerely
Kenny Williamson

I, _____, hereby acknowledge receipt of the Intermediary disclosure document.

Clients Signature

Date

www.swissfin.co.za • info@swissfin.co.za

TEL: 0861 SWISSFIN or ++27 21 551 3331 • FAX: ++27 21 551 8061

P O BOX 270, CENTURY CITY, 7446 • UNIT C7, CENTURY SQUARE, HERON CRESCENT, CENTURY CITY, 7441

SWISS FINANCIAL CONSULTING CC, REG NO: CK92/33840/23 • VAT REG NO: 4270194204 • MEMBER: AR HUG

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